

# Quantifying Diphthongs

## A statistical technique for distinguishing formant contours

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# Outline

1. Importance of formant contours.
2. Ways of analyzing contours.
3. Smoothing Spline ANOVA
4. Examples
  - mostly about short a in English
5. Open issues (and possible solutions)
  - There's code for everything (URL at the end of the presentation)
  - Paul de Decker & Jennifer Nycz

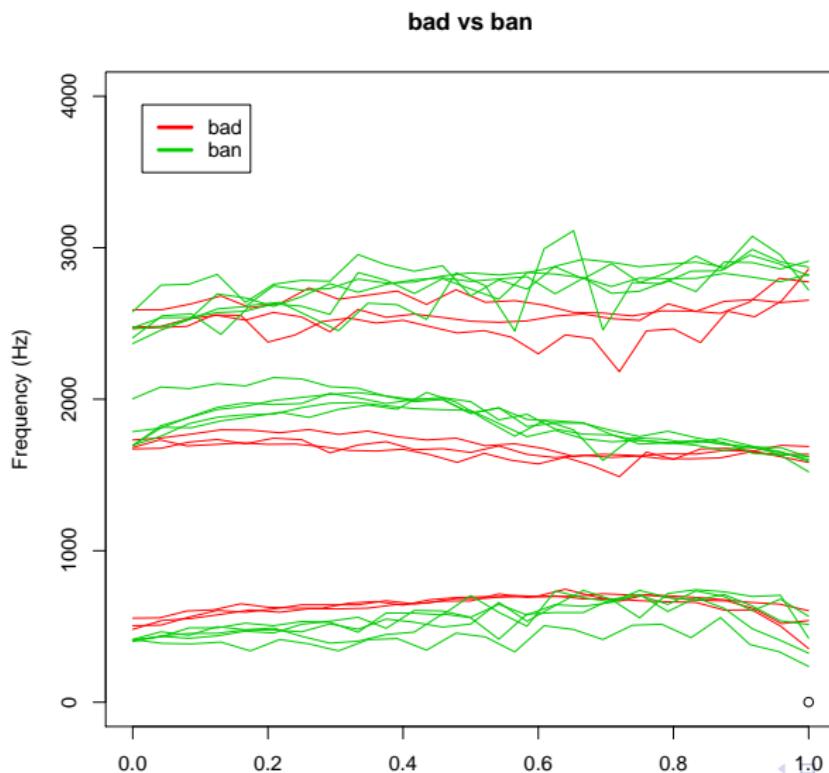
# Role of formant transitions

- Diphthongs
  - Social significance: “tense æ” — transcribed [e:ə] for Philadelphia English
  - Other pronunciations in other dialects, NOT [æ]
- We know that vowel formant transitions are important for perception
  - Silent-center vowel experiments (Strange et al. 1983, *et seq.*) show that the formant transitions of a vowel are sufficient for identification.
  - Parametric synthesis — meeting a single acoustic target isn’t everything.
  - Gated perception experiments: the fastest-changing parts of a speech signal contain the most lexical information (Furui 1986, Warner 1998).

# Previous representations of contours

- Conventionally vowels are characterized by point measurements.
  - mid-point
  - formant trajectory extrema
- This is a huge loss of data—we lose the time dimension.
- It's possible to investigate formant contours by comparing overlay displays, but this does not have statistical rigor.

ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ



# Previous representations of contours

- Vowel formant contours can be represented with a discrete cosine transform coefficients.
  - breaks the curve into three (Zahorian & Jagharghi 1993) or six (Watson & Harrington 1999) parameters.
- This keeps dynamic information, but the numbers are not readily interpretable.
- Handy for machine learning, but not really helpful for description.

# Role of curves in general

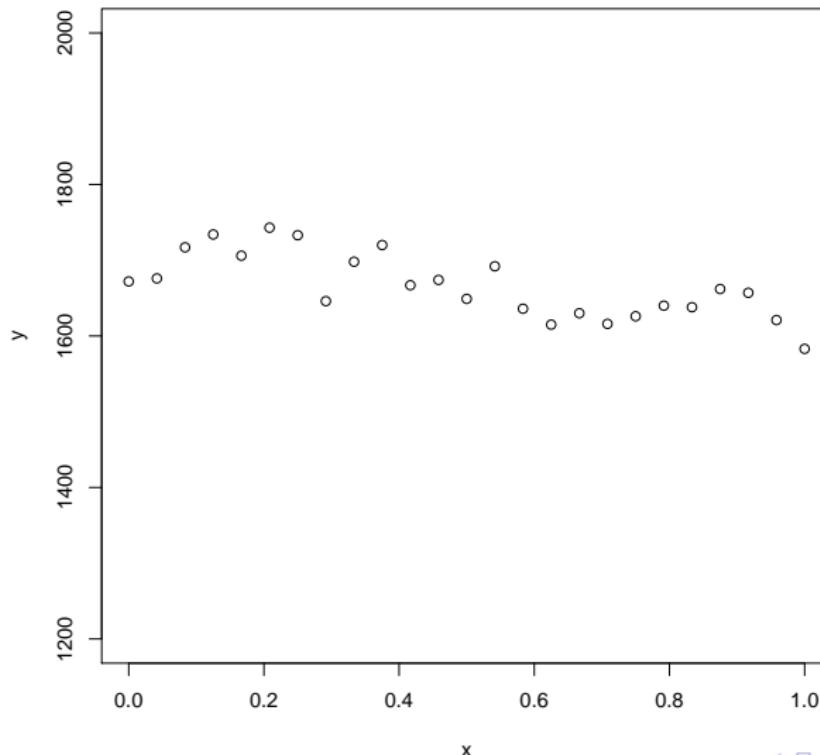
- Curves are often important in linguistics.
  - Tongue shapes
  - Formant contours outside of diphthongs
    - *oily/oiler, rural/whirl*
    - Mark Karan: *ferry/furry*
  - Pitch contours
- Not being able to compare curves is something of a hindrance.

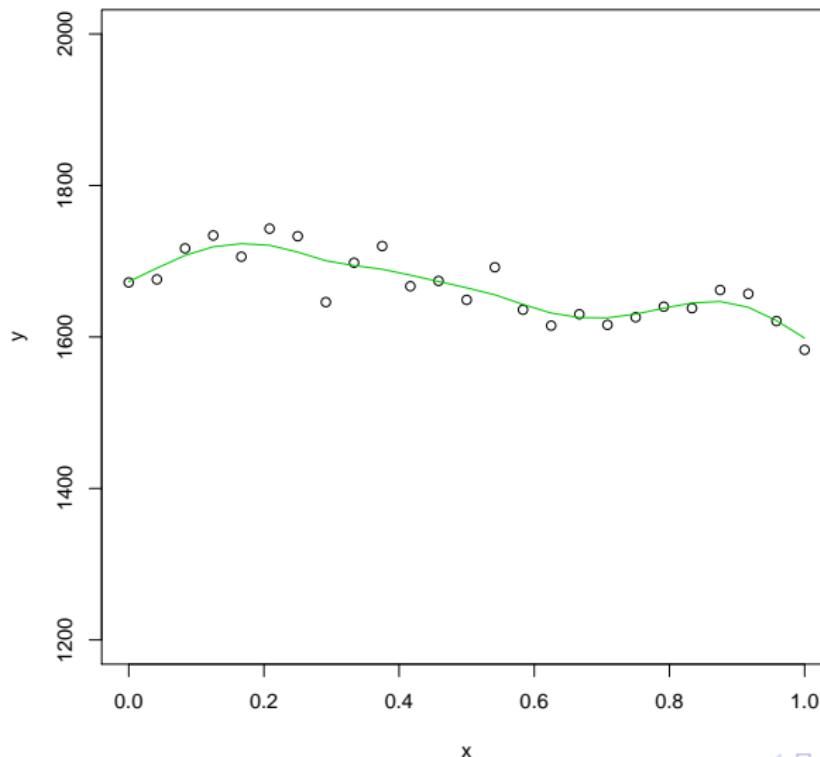
# Smoothing Spline ANOVA

- The Smoothing Spline Analysis of Variance (SSANOVA) is a domain-general statistical tool for comparing curves.
  - it's been applied to, e.g., circadian rhythms, epidemiological studies
- Davidson (2006) brought SSANOVA to linguists by using to compare tongue shapes.
  - HUGE help to ultrasound research
- SSANOVA allows us to ask the “same-or-different” question for curves.
- It's used here for the comparison of formant contours.

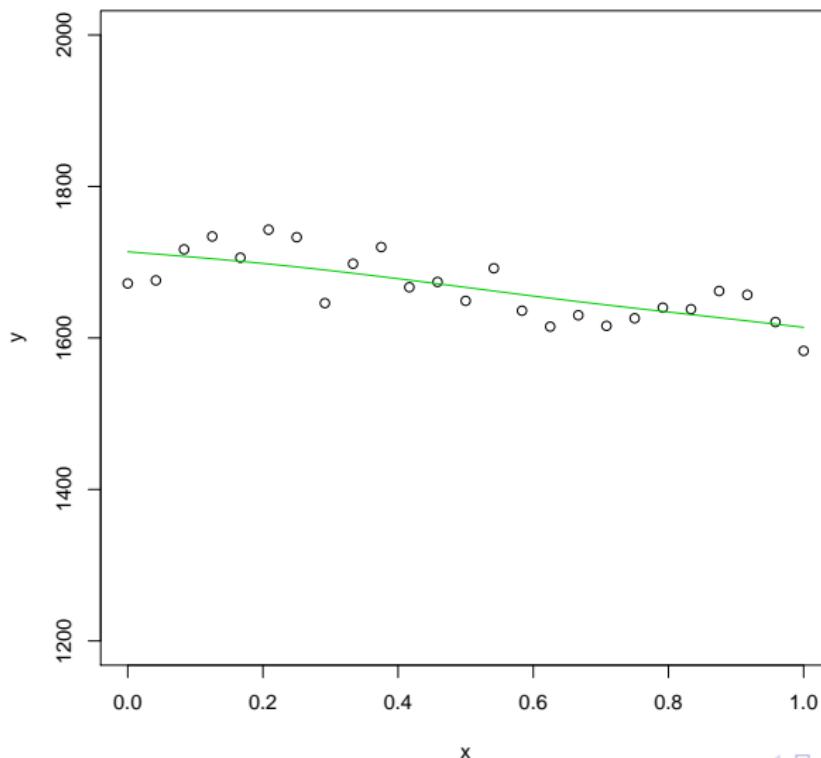
# Conceptual overview

- The “Smoothing Spline” part of a Smoothing Spline ANOVA.
- A smoothing spline is a function used to approximate data.
- You determine the smoothness of the function with a smoothing parameter.
  - Can be done automatically

**Some points**

**Fitted with a smoothing spline**

Fitted with a stiffer smoothing spline



# Conceptual overview

- Some splines will approximate a data set better than others.
- The “badness” of the spline’s fit in the SSANOVA is similar to the idea of error in a regular ANOVA.
- Regular ANOVA: compare the error that results from including a factor or not including it.
- SSANOVA: compare the badness-of-fit when two curves are used for a data set instead of one.

# Conceptual overview

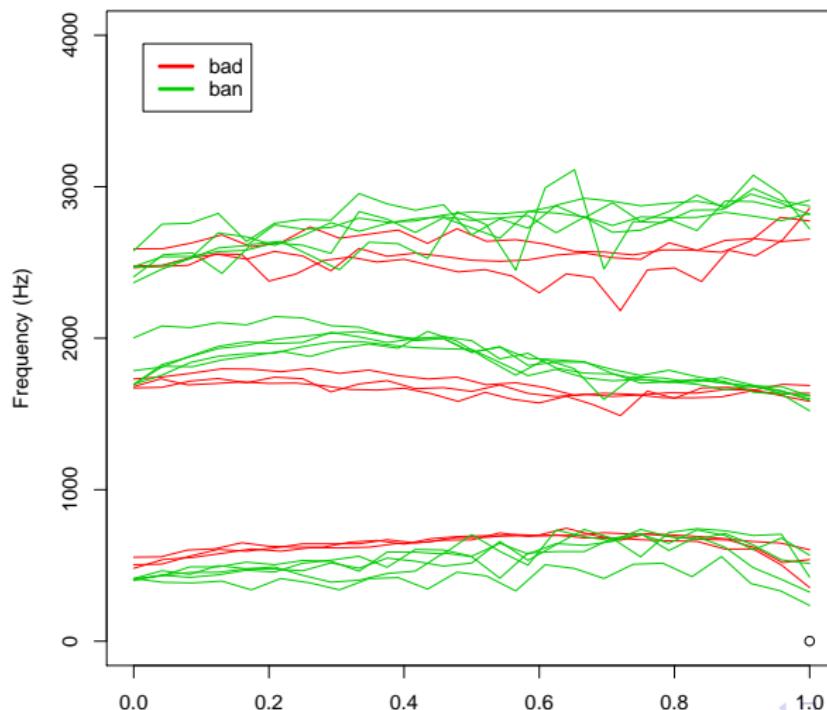
- What does “statistical significance” look like for curves?
- Two curves can be different along only a portion of their length.
- So the SSANOVA compares curves along their length.

# Conceptual overview

- Two lines are plotted representing the spline approximation of the data.
- 95% confidence intervals are plotted for both curve.
- We can be 95% confident that the mean of the curve lies within this interval.
- If the intervals of two curves do not overlap, we can be confident that they are distinct.
- This is conceptually similar to a p-value, but technically different.

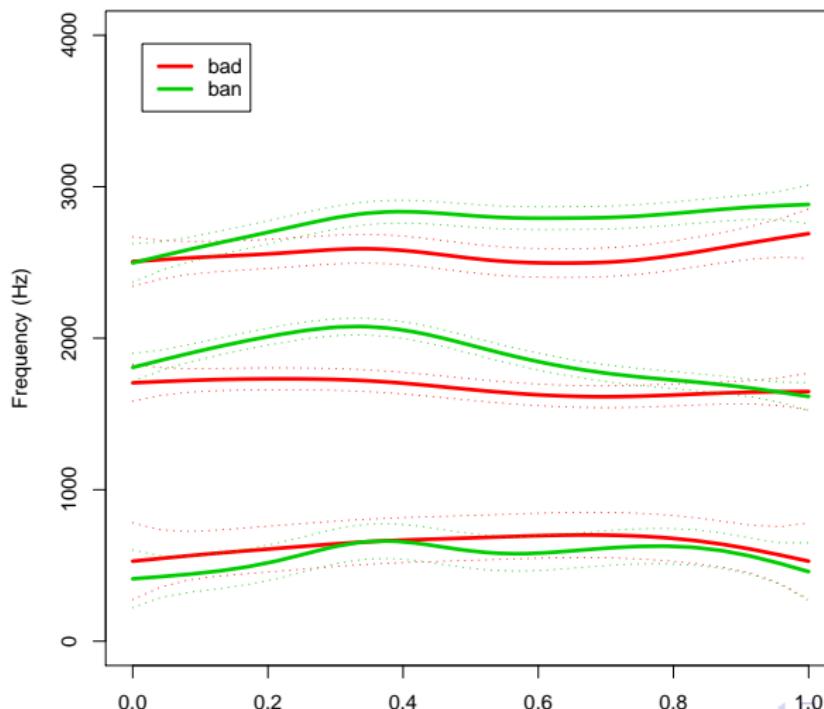
ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ

bad vs ban



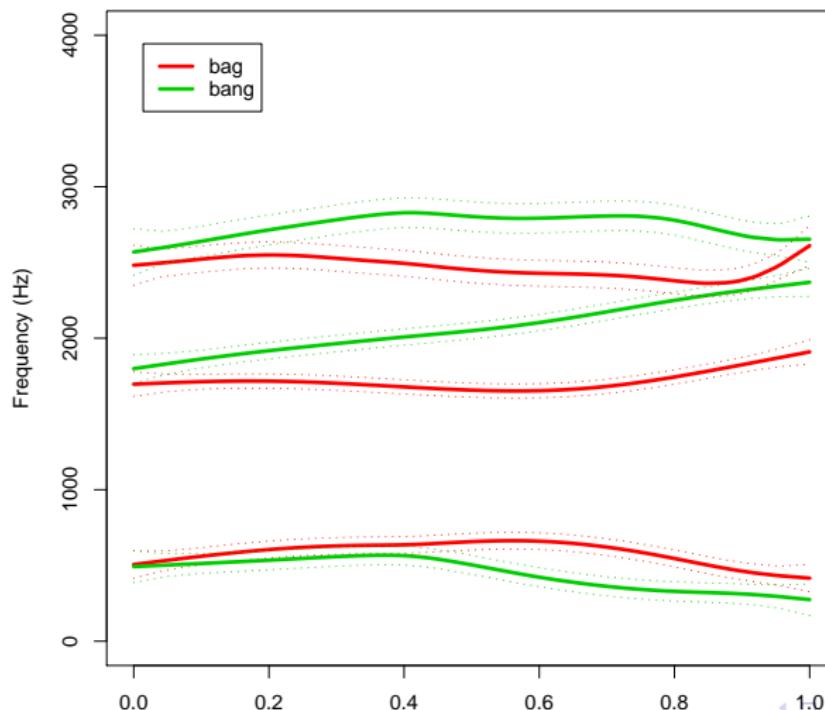
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bad vs ban



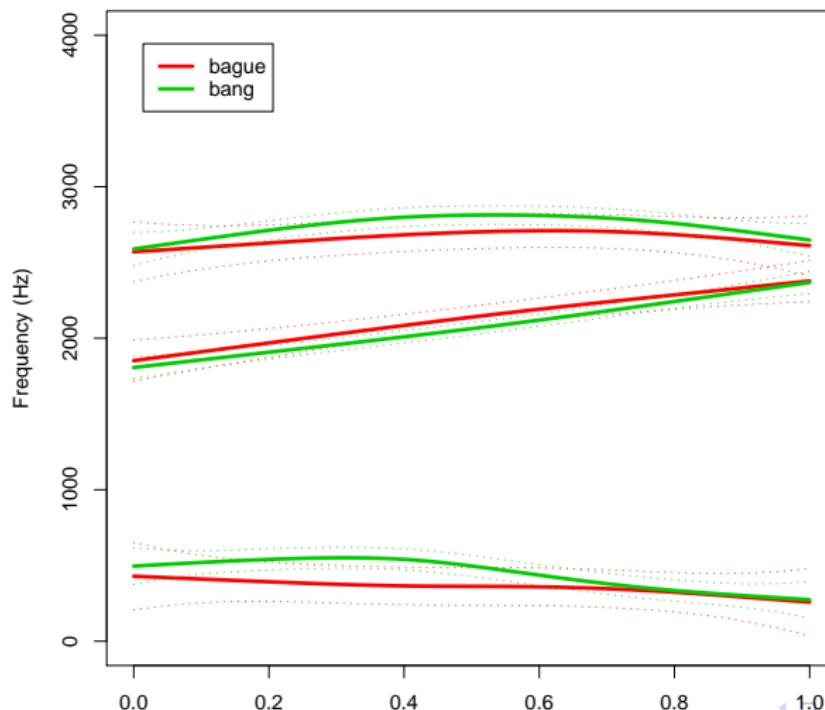
ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ

bag vs bang



ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ

**bague vs bang**



# Open issues

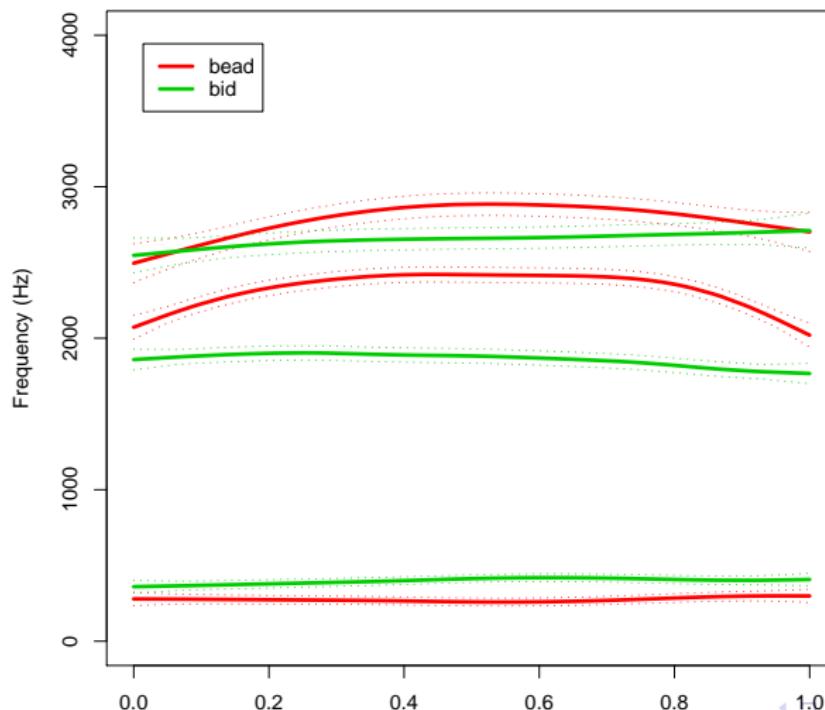
1. Taking vowel duration into consideration.
2. Speaker normalization.

# Vowel duration

- Not all productions of the vowel are of equal duration
  1. inter-token variability
  2. inherent duration differences (e.g., [i] v. [ɪ])
- The approach in the comparisons so far has been to normalize durations by stretching them linearly.
- One can also imagine...
  - Aligning the centers of vowels.
  - Aligning the right edges.
  - Aligning the left edges.
  - Performing some non-linear stretch.
- Aside from the non-linear stretch, these ideas have been implemented in the code on my web site.

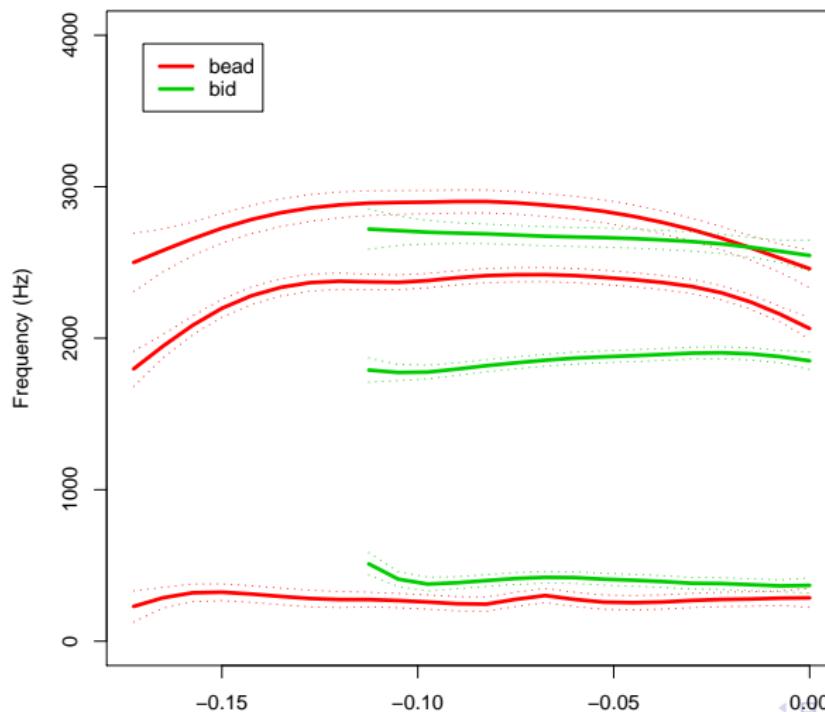
ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ

**bead vs bid**

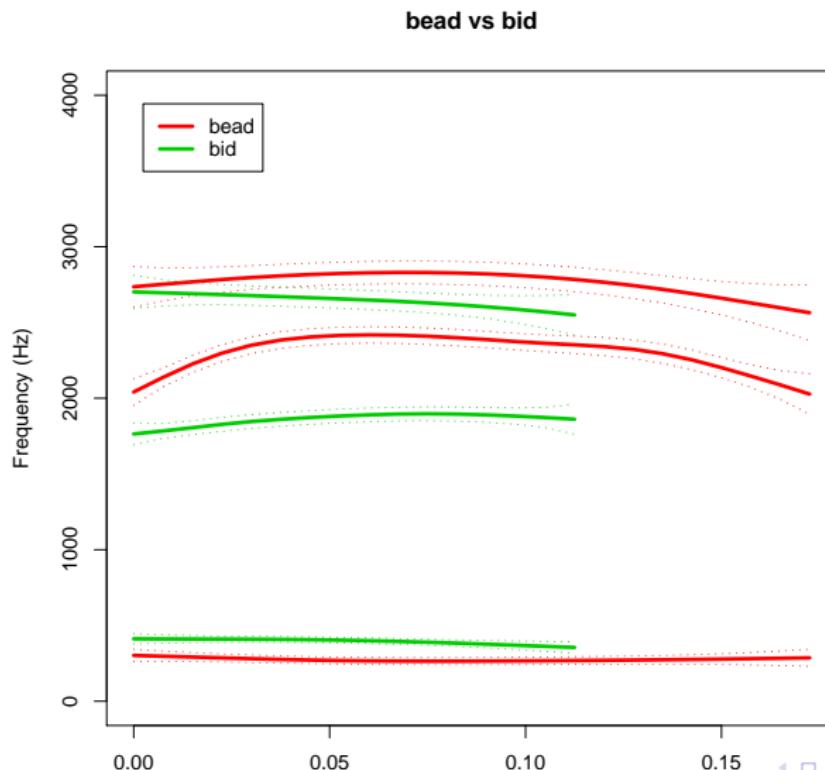


ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ

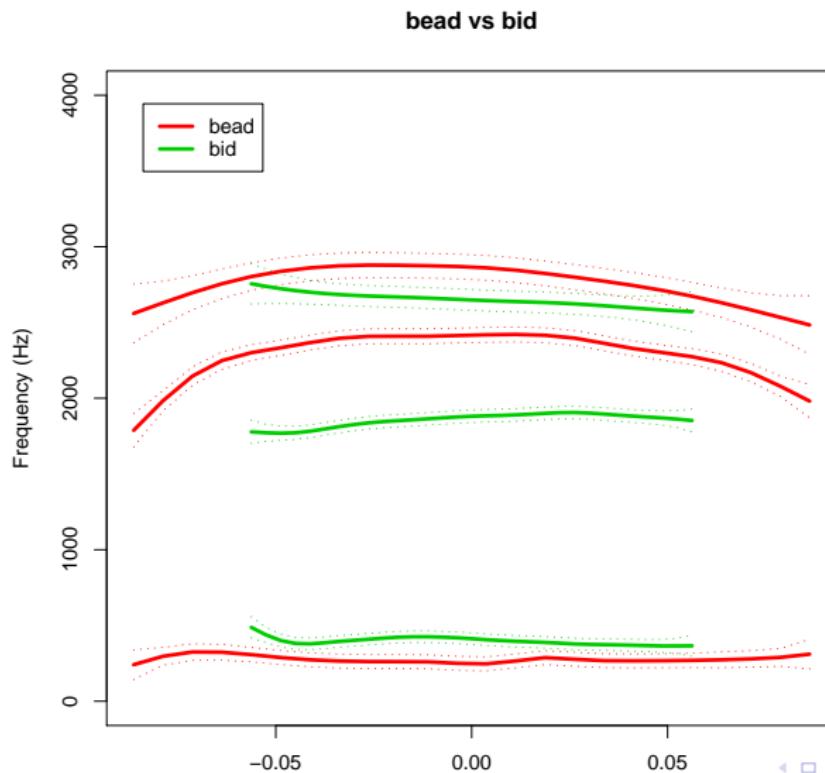
bead vs bid



ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ



ang2: 19 year-old male, has lived in Phoenix, AZ and Tucson, AZ



# Vowel duration

- The commitment to a length normalization procedure is a theoretical one.
- Nothing is advocated or recommended here.
  
- But perhaps the SSANOVA can help us choose.
- “The curves are significantly different under length normalization regime X, but not under regime Y.”

# Speaker normalization

- Formant frequencies are often not directly comparable between subjects.
- The normalization of Nearey (1977) should be applicable even to contour data.
- Possibly need to rethink how to calculate mean vowel formants (do we measure at the midpoint? average of all formant measurements?)

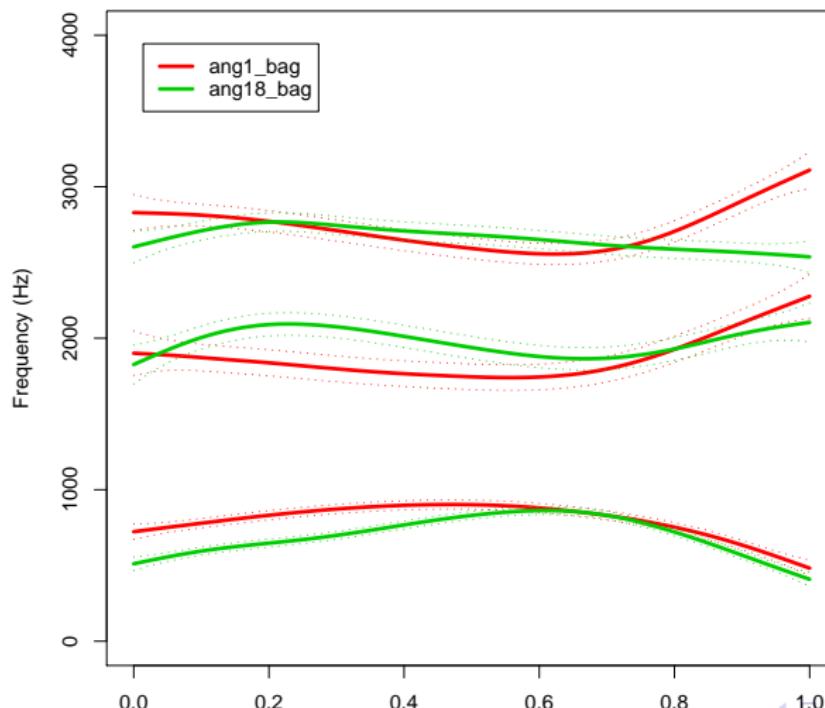
# Speaker normalization

- We didn't get a full vowel set for the speakers shown here.
- Two 19-year-old females
- ang1: White, has lived in Phoenix and Tucson
- ang18: African American, has lived in Colorado, Utah, Tucson
- We'll compare the words "bag" and "dang"

ang1

ang18

ang1\_bag vs ang18\_bag

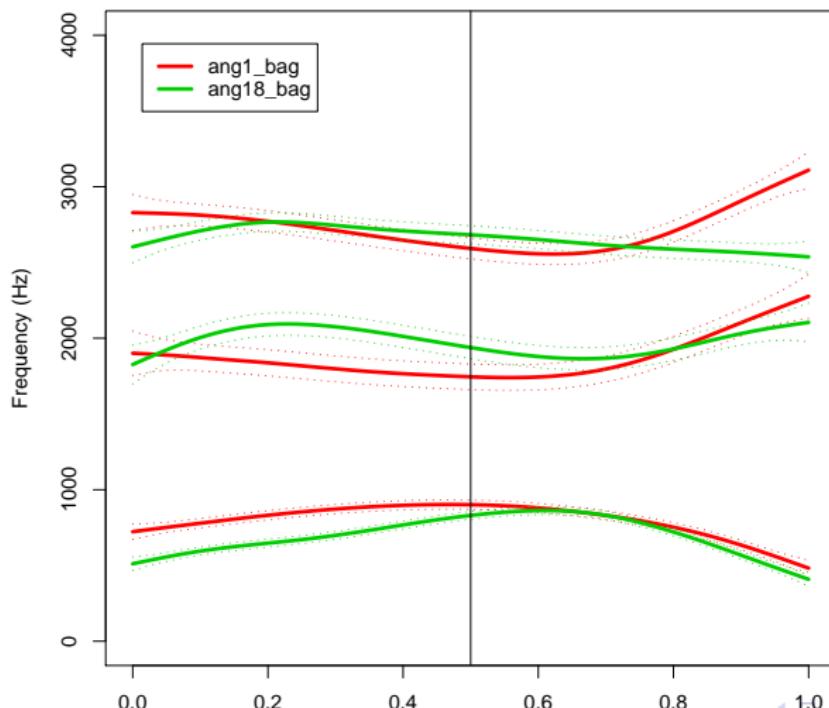


ang1

ang18

Note the absence of a difference at the midpoint.

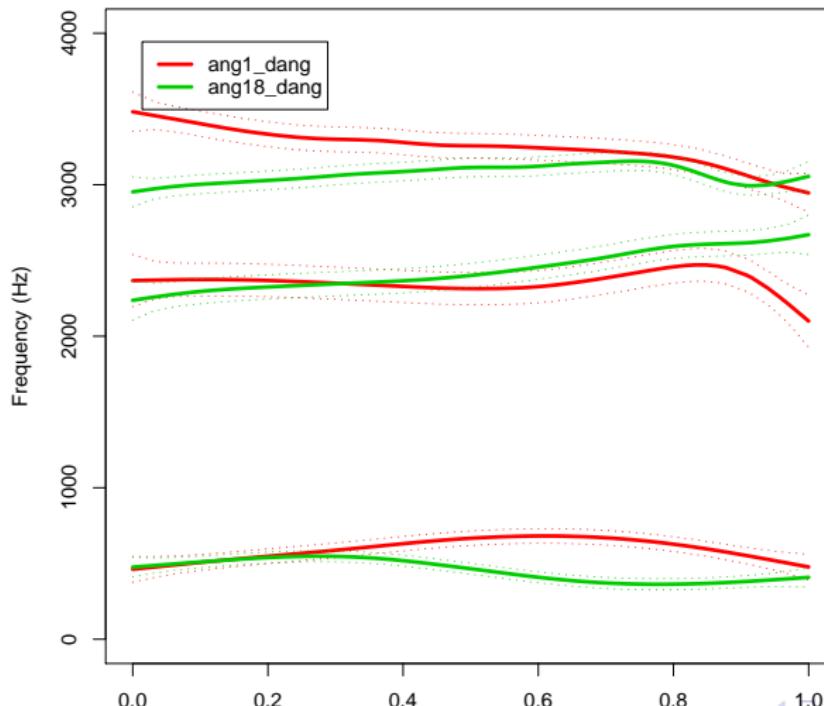
ang1\_bag vs ang18\_bag



ang1

ang18

ang1\_dang vs ang18\_dang



# Availability

- SSANOVA tests can be performed with the R or S statistical packages
  - Functions written by Wang & Ke (2002)
  - Helpful interface provided by Kyung Sin
  - Adapted for formants by Baker (this presentation)
- R is an open-source, cross-platform statistical environment
  - difficult to use, but (\*ahem\*) free
- Instructions for making it work at  
<http://www.u.arizona.edu/~tabaker/>

# Conclusion

- Smoothing Spline ANOVA tests allow us to compare curves.
- This is particularly nice for vowel formants.
- There are a few issues to be worked out; nothing too serious.

- Instructions for making it work at  
<http://www.u.arizona.edu/~tabaker/>